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### On t-Motifs

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# Chapter 6

## Weights and Purity

### 6.1 Dieudonné $t$ -Modules

**6.1.1.** Let  $k$  and  $K$  be as usual. Denote by  $\tau$  the continuous endomorphism of the field of Laurent series  $K((t^{-1}))$  that fixes  $t^{-1}$  and that restricts to the  $q$ -th power map on  $K$ .

**Definition.** A Dieudonné  $t$ -module<sup>(1)</sup> over  $K$  is a pair  $(V, \sigma)$  of

- a finite-dimensional  $K((t^{-1}))$ -vector space  $V$  and
- an additive map  $\sigma : V \rightarrow V$  satisfying  $\sigma(fv) = \tau(f)\sigma(v)$  for all  $f \in K((t^{-1}))$  and all  $v \in V$ ,

such that  $K\sigma(V) = V$ .

A morphism of Dieudonné  $t$ -modules is of course a  $K((t^{-1}))$ -linear map commuting with  $\sigma$ .

**6.1.2.** Dieudonné  $t$ -modules are easily classified, at least over a separably closed field. The main ‘building blocks’ are the following modules:

**Definition.** Let  $\lambda = s/r$  be a rational number with  $(r, s) = 1$  and  $r > 0$ . The Dieudonné  $t$ -module  $V_\lambda$  is defined to be the pair  $(V_\lambda, \sigma)$  with

- $V_\lambda \stackrel{\text{def}}{=} K((t^{-1}))e_1 \oplus \dots \oplus K((t^{-1}))e_r$

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<sup>(1)</sup>This is the equal characteristic analogue of the  $p$ -adic object that is commonly called a Dieudonné module.

- $\sigma(e_i) \stackrel{\text{def}}{=} e_{i+1}$  ( $i < r$ ) and  $\sigma(e_r) \stackrel{\text{def}}{=} t^s e_1$

The classification states:

**Proposition.** *If  $V$  is a Dieudonné  $t$ -module over a separably closed field  $K$  then there exist rational numbers  $\lambda_1, \dots, \lambda_n$  such that*

- $V \approx V_{\lambda_1} \oplus \dots \oplus V_{\lambda_n}$ , and,
- the  $t^{-1}$ -adic valuations of the roots of the characteristic polynomial of  $\sigma$  expressed on any  $K((t^{-1}))$ -basis are  $\{-\lambda_i\}_i$ , each counted with multiplicity  $\dim V_{\lambda_i}$ .

If  $\lambda \neq \mu$  then  $\text{Hom}(V_\lambda, V_\mu) = 0$ . For all  $\lambda$ , the ring  $\text{End}(V_\lambda)$  is a division algebra over  $k((t^{-1}))$ . Its Brauer class is  $\lambda + \mathbf{Z} \in \mathbf{Q}/\mathbf{Z} = \text{Br}(k((t^{-1})))$ .

Note that this classification is formally identical to the classification of the classical ( $p$ -adic) Dieudonné modules.<sup>(2)</sup>

*Proof.* This is shown in Appendix B of [LAUMON 1996]. Although the statements therein are made only for a particular field  $K$ , nowhere do the proofs make use of anything stronger than the separably closedness of  $K$ .  $\square$

**6.1.3.** The following characterisation of  $V_\lambda$  is useful.

**Proposition.** *Let  $V$  be a Dieudonné  $t$ -module over a separably closed field  $K$  and  $\lambda$  a rational number. The following are equivalent:*

- $V \approx V_\lambda \oplus V_\lambda \oplus \dots \oplus V_\lambda$ ;
- there exists a lattice  $\Lambda \subset V$  such that  $\sigma^r(\Lambda) = t^s \Lambda$  where  $r$  and  $s$  are coprime integers with  $\lambda = s/r$ .

*Proof.* *One  $\Rightarrow$  Two.* If  $V = V_\lambda$  and  $(e_i)$  the basis that occurs in its definition (6.1.2) then the lattice generated by the same basis  $(e_i)$  has the required property. For  $V = V_\lambda \oplus \dots \oplus V_\lambda$  it thus suffices to take the lattice  $\Lambda \oplus \dots \oplus \Lambda$ .

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<sup>(2)</sup>See [DIEUDONNÉ 1957].

*Two  $\Rightarrow$  One.* The operator  $t^{-s}\sigma^r$  transforms a  $K[[t^{-1}]]$ -basis of  $\Lambda$  into a new  $K[[t^{-1}]]$ -basis of  $\Lambda$  and therefore has eigenvalues of valuation 0.  $\square$

## 6.2 Pure $t$ -Motifs

**6.2.1.** Let  $K$  be separably closed. Let  $M$  be an effective  $t$ -motif over  $K$ . Then

$$M((t^{-1})) \stackrel{\text{def}}{=} M \otimes_{K[t]} K((t^{-1})) = M(t) \otimes_{K(t)} K((t^{-1}))$$

is a Dieudonné  $t$ -module. The displayed equality shows that it only depends on the isogeny class of  $M$ . By the classification of Dieudonné  $t$ -modules (6.1.2) there exist rational numbers  $\lambda_1, \dots, \lambda_n$  such that

$$M((t^{-1})) \approx V_{\lambda_1} \oplus \dots \oplus V_{\lambda_n}.$$

We call these rational numbers the *weights* of  $M$ . If  $K$  is not separably closed then we define the weights of an effective  $t$ -motif  $M$  to be the weights of  $M_{K^s}$ . This clearly does not depend on the choice of a separable closure.

We say that  $M$  is *pure of weight  $\lambda$*  if the only weight occurring is  $\lambda$ . By Proposition 6.1.3, this coincides with the definition as given in [ANDERSON 1986].

**6.2.2.** We now collect a number of facts related to the notions of weights and purity. They are either immediate consequences of the definitions or well-known facts established in the literature.

**Proposition.** *We have the following:*

- If  $M$  is pure of weight  $\lambda$  then every subquotient of  $M$  is pure of weight  $\lambda$ ;
- If  $M$  has a filtration in which all successive quotients are pure of weight  $\lambda$ , then  $M$  is pure of weight  $\lambda$ ;
- If the sets of weights of  $M_1$  and  $M_2$  are disjoint then  $\text{Hom}(M_1, M_2) = 0$ ;
- Drinfeld modules of rank  $r$  are pure of weight  $1/r$  (in particular:  $C$  is pure of weight 1);

- The weights of  $M_1 \otimes M_2$  are the sums of weights of  $M_1$  with those of  $M_2$ ;
- The weight of a pure effective  $t$ -motif  $M$  is non-negative.

*Proofs. One.* If  $M'$  is a subquotient of  $M$  then  $M'((t^{-1}))$  is a subquotient of  $M((t^{-1}))$  and the claimed statement follows at once from the Classification 6.1.2.

*Two.* A normal series of  $M$  induces a normal series of  $M((t^{-1}))$  and again the contention follows from 6.1.2.

*Three.*  $\text{Hom}(M_1, M_2)$  is a submodule of  $\text{Hom}(M_1((t^{-1})), M_2((t^{-1})))$ , which is zero by 6.1.2.

*Four.* See Proposition 4.1.1. of [ANDERSON 1986].

*Five.* Immediate since the zeroes of the characteristic polynomials are multiplied.

*Six.* Clear for rank one  $M$ , for a general  $M$  take the top exterior power.  $\square$

**6.2.3.** If  $M$  is an effective  $t$ -motif and

$$M((t^{-1})) \approx V_{\lambda_1} \oplus \cdots \oplus V_{\lambda_n}$$

then by the Proposition

$$(M \otimes C)((t^{-1})) \approx V_{\lambda_1+1} \oplus \cdots \oplus V_{\lambda_n+1}.$$

It is thus natural to define the *weights* of a  $t$ -motif  $(M, i)$  to be the set of  $\lambda + i$  where  $\lambda$  runs through the weights of  $M$ . To be consistent, a  $t$ -motif  $(M, i)$  is then said to be *pure of weight*  $\lambda$  if and only if  $M$  is pure of weight  $\lambda - i$ .

## 6.3 A Digression on Brauer Groups

**6.3.1.** Let  $M$  be a  $t$ -motif that is pure of weight  $\lambda$ . Thus  $M((t^{-1})) \approx nV_\lambda$  and by Proposition 6.1.2 the endomorphism ring  $\text{End}(M((t^{-1})))$  is a central simple algebra whose class in the Brauer group of  $k((t^{-1}))$  is  $\lambda + \mathbf{Z}$ . Thus, the map

$$\{\text{weights}\} = \mathbf{Q} \rightarrow \mathbf{Q}/\mathbf{Z} = \text{Br}(k((t^{-1})))$$

has some kind of interpretation in terms of Dieudonné  $t$ -modules of pure  $t$ -motifs.

**6.3.2.** Classical motifs also have weights, and these weights form an infinite cyclic group. If we normalise things so that the Lefschetz motif has weight 1,<sup>(3)</sup> then the weight group is  $\frac{1}{2}\mathbf{Z}$ . A piece of the degree  $i$  cohomology  $h^i(X)$  then has weight  $i/2$ . All this seems to harmonise easily with the fact that the Brauer group of  $\mathbf{R}$  is cyclic of order two—it suggests that the map

$$\{\text{weights}\} = \frac{1}{2}\mathbf{Z} \rightarrow \frac{1}{2}\mathbf{Z}/\mathbf{Z} = \text{Br}(\mathbf{R}),$$

can be interpreted in a fashion similar to the above.

**6.3.3.** We shall sketch one possible such interpretation, albeit a somewhat *ad hoc* one. Let  $X$  be a smooth and projective variety over  $\mathbf{C}$ . Put  $V = H^i(X(\mathbf{C}), \mathbf{C})$ . The complex vector space  $V$  comes equipped with a Hodge decomposition

$$V = \bigoplus_{p+q=i} H^{p,q}.$$

Let  $\alpha$  be the anti-linear automorphism of the complexified co-tangent bundle of  $X$  that is the composition of the linear automorphism ‘multiplication with  $i$ ’ followed by complex conjugation. Then  $\alpha$  induces an anti-linear automorphism  $\alpha_*$  of  $V$ . On the Hodge decomposition it restricts to

$$\alpha_* : H^{p,q} \rightarrow H^{q,p} : c \mapsto i^{q-p} \bar{c}. \quad (6.1)$$

The endomorphisms of  $V$  that commute with  $\alpha_*$  form an  $\mathbf{R}$ -algebra denoted  $\text{End}(V, \alpha_*)$ . Starting from (6.1) an easy calculation yields

$$\text{End}(V, \alpha_*) \approx \begin{cases} \text{M}(n, \mathbf{R}) & \text{if } i \text{ even,} \\ \text{M}(\frac{n}{2}, \mathbf{H}) & \text{if } i \text{ odd,} \end{cases}$$

where  $n$  stands for the dimension of  $V$  and  $\mathbf{H}$  for the algebra of Hamilton quaternions. We conclude that the two elements of the Brauer group of  $\mathbf{R}$  correspond to the two weight classes modulo  $\mathbf{Z}$ .

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<sup>(3)</sup>This is *not* the customary normalisation—one usually assigns the weight 2 to the Lefschetz motif.

